

**Form 604**  
Corporations Act 2001  
Section 671B

**Notice of change of interests of substantial holder**

To: Company Name/Scheme ALTURA MINING LIMITED

ACN/ARSN 093 391 774

**1. Details of substantial holder (1)**

Name SHAANXI J&R OPTIMUM ENERGY CO., LTD

ACN/ARSN (if applicable) N/A

There was a change in the interests of the substantial holder on

	12	/	3	/	2019
The previous notice was given to the company on	20	/	2	/	2019
The previous notice was dated	20	/	2	/	2019

**2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company of scheme, are as follows:

Class of securities (4)	Previous notice		Present notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
Fully paid ordinary shares	303,000,000	16.14%	287,163,331	15.13%

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme as follows:

Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
<b>SEE ANNEXURE A</b>					

**4. Present relevant interest**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
SHAANXI J&R OPTIMUM ENERGY CO., LTD	HSBC Custody Nominees (Australia) Limited	HSBC Custody Nominees (Australia) Limited	Shaanxi J&R Optimum Energy Co., Ltd has a relevant interest by virtue of section 608(1) (b) and (c) of the Corporations Act arising as a result of being able to exercise the power to vote or dispose of the shares.	287,163,331 ordinary shares	287,163,331

**6. Changes in association**

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

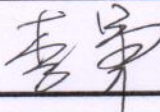
Name and ACN/ARSN (if applicable)	Nature of association

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
SHAANXI J&R OPTIMUM ENERGY CO., LTD	Qingyang International Building Tsingsua Science Park High-Tech Industries Development Zone Xi'an, China

**Signature**

print name	Jun LI	capacity	Board Secretary
sign here		date	12 / 3 / 2019

**DIRECTIONS**

- (1) If there are a number of substantial holders with similar or related relevant issues (eg. A corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in Section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).
 See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. If the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

## ANNEXURE A

THIS IS ANNEXURE A OF ONE PAGE REFERRED TO IN FORM 604 NOTICE OF CHANGE OF INTERESTS OF SUBSTANTIAL HOLDER.

ALTURA MINING LIMITED  
ACN 093 391 774

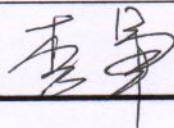
Date of change	Person whose relevant interest changed	Nature of change (6)	Consideration given in relation to change (7)	Class and number of securities affected	Person's votes affected
25 Feb 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	13 cents per share	1,000,000 fully paid ordinary shares	1,000,000
26 Feb 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	13 cents per share	1,000,000 fully paid ordinary shares	1,000,000
27 Feb 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	14.5 cents per share	799,757 fully paid ordinary shares	799,757
27 Feb 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	14 cents per share	200,243 fully paid ordinary shares	200,243
28 Feb 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	14.5 cents per share	1,500,000 fully paid ordinary shares	1,500,000
28 Feb 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	15 cents per share	1,000,000 fully paid ordinary shares	1,000,000
1 Mar 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	15.25 cents per share	93,538 fully paid ordinary shares	93,538
1 Mar 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	15 cents per share	906,462 fully paid ordinary shares	906,462
4 Mar 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	14 cents per share	2,000,000 fully paid ordinary shares	2,000,000
6 Mar 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	13.5 cents per share	1,000,000 fully paid ordinary shares	1,000,000
6 Mar 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	14 cents per share	1,000,000 fully paid ordinary shares	1,000,000
7 Mar 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	14 cents per share	1,000,000 fully paid ordinary shares	1,000,000
8 Mar 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	14 cents per share	1,000,000 fully paid ordinary shares	1,000,000
11 Mar 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	13.5 cents per share	1,500,000 fully paid ordinary shares	1,500,000
11 Mar 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	14 cents per share	836,669 fully paid ordinary shares	836,669
12 Mar 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	13.75 cents per share	750,000 fully paid ordinary shares	750,000
12 Mar 2019	SHAANXI J&R OPTIMUM ENERGY CO., LTD	On-market sale	13.50 cents per share	250,000 fully paid ordinary shares	250,000

## Signature

print name Jun LI

capacity Board Secretary

sign here



date 12 / 3 / 2019